

# There are unknown unknowns

"**There are unknown unknowns**" is a phrase from a response <u>United States Secretary of Defense Donald Rumsfeld</u> gave to a question at a <u>U.S. Department of Defense</u> (DoD) news briefing on February 12, 2002, about the lack of evidence linking the government of <u>Iraq</u> with the supply of <u>weapons of</u> mass destruction to terrorist groups.<sup>[1]</sup> Rumsfeld stated:

Reports that say that something hasn't happened are always interesting to me, because as we know, there are known knowns; there are things we know we know. We also know there are known unknowns; that is to say we know there are some things we do not know. But there are also unknown unknowns—the ones we don't know we don't know. And if one looks throughout the history of our country and other free countries, it is the latter category that tends to be the difficult ones.<sup>[1]</sup>



Rumsfeld during a <u>Pentagon</u> <u>news</u> briefing in February 2002

The statement became the subject of much commentary. In *The Decision Book* (2013), author <u>Mikael Krogerus</u> refers to it as the "Rumsfeld matrix".<sup>[2]</sup> The statement also features in a 2013 documentary film, *The Unknown Known*, directed by Errol Morris.<sup>[3]</sup>

Known unknowns refers to "risks you are aware of, such as canceled flights",<sup>[4]</sup> whereas unknown unknowns are risks that come from situations that are so unexpected that they would not be considered.

With respect to awareness and understanding, unknown unknowns can be compared to other types of problems in the following matrix:

	Aware	Not aware
Understand	Known knowns: Things we are aware of and understand	Unknown knowns: Things we are not aware of but do understand or know implicitly
Don't understand	Known unknowns: Things we are aware of but don't understand	Unknown unknowns: Things we are neither aware of nor understand

Awareness–understanding matrix<sup>[5]</sup>

## Origins

Rumsfeld's statement brought attention to the concepts of known knowns, known unknowns, and unknown unknowns, but national security and intelligence professionals have long used an analysis technique referred to as the Johari window. The idea of unknown unknowns was created in 1955 by two American psychologists, Joseph Luft and Harrington Ingham in their development of the Johari window. They used it as a technique to help people better understand their relationship with themselves as well as others.

The term was also commonly used inside <u>NASA</u>.<sup>[6]</sup> Rumsfeld cited <u>NASA</u> administrator <u>William</u> <u>Graham</u> in his memoir; he wrote that he had first heard "a variant of the phrase" from Graham when they served together on the <u>Commission to Assess the Ballistic Missile Threat to the United</u> <u>States</u> during the late 1990s.<sup>[7]</sup> Kirk Borne, an astrophysicist who was employed as a data scientist at <u>NASA Goddard Space Flight Center</u> at the time, said in an April 2013 <u>TED talk</u> that he had used the phrase "unknown unknowns" in a talk to personnel at the Homeland Security Transition Planning Office a few days prior to Rumsfeld's remarks, and speculated that the term may have percolated up to Rumsfeld and other high-ranking officials in the Defense Department.<sup>[8]</sup>

The terms "known unknowns" and "unknown unknowns" are often used in <u>project management</u> and <u>strategic planning<sup>[9]</sup> circles</u>.

Contemporary usage is largely consistent with the earliest known usages. For example, the term was used in evidence given to the British Columbia Royal Commission of Inquiry into Uranium Mining in 1979:

Site conditions always pose unknowns, or uncertainties, which may become known during construction or operation to the detriment of the facility and possibly lead to damage of the environment or endanger public health and safety. The risk posed by unknowns is somewhat dependent on the nature of the unknown relative to past experience. This has led me to classify unknowns into one of the following two types: 1. known unknowns (expected or foreseeable conditions), which can be reasonably anticipated but not quantified based on past experience as exemplified by case histories (in Appendix A) and 2. Unknown unknowns (unexpected or unforeseeable conditions), which pose a potentially greater risk simply because they cannot be anticipated based on past experience or investigation. Known unknowns result from recognized but poorly understood phenomena. On the other hand, unknown unknowns are phenomena which cannot be expected because there has been no prior experience or theoretical basis for expecting the phenomena.<sup>[10]</sup>

The term also appeared in a 1982 <u>New Yorker</u> article on the <u>aerospace industry</u>, which cites the example of metal fatigue, the cause of crashes in de Havilland Comet airliners in the 1950s.<sup>[11]</sup>

#### Reaction

Canadian columnist <u>Mark Steyn</u> called it "in fact a brilliant distillation of quite a complex matter".<sup>[12]</sup> Australian economist and blogger John Quiggin wrote: "Although the language may be tortured, the basic point is both valid and important."<sup>[13]</sup>

Psychoanalytic philosopher <u>Slavoj Žižek</u> says that beyond these three categories there is a fourth, the unknown known, that which one intentionally refuses to acknowledge that one knows: "If Rumsfeld thinks that the main dangers in the confrontation with Iraq were the 'unknown unknowns', that is, the threats from Saddam whose nature we cannot even suspect, then the <u>Abu</u> <u>Ghraib scandal</u> shows that the main dangers lie in the "unknown knowns"—the disavowed beliefs, suppositions and obscene practices we pretend not to know about, even though they form the background of our public values."<sup>[14]</sup>

German sociologists Christopher Daase and Oliver Kessler agreed that the <u>cognitive frame</u> for political practice may be determined by the relationship between "what we know, what we do not know, what we cannot know", but stated that Rumsfeld left out "what we do not like to know". [15]

The event has been used in multiple books to discuss risk assessment.<sup>[3][16]</sup>

Rumsfeld named his 2011 autobiography <u>Known and Unknown: A Memoir</u>. In the author's note at the start of the book, he expressly acknowledges the source of his memoir's title and mentions a few examples of his statement's prominence.<sup>[17]</sup> <u>The Unknown Known</u> is the title of <u>Errol Morris's</u> 2013 biographical documentary film about Rumsfeld.<sup>[18]</sup> In it, Rumsfeld initially defines "unknown knowns" as "the things you think you know, that it turns out you did not", and toward the end of the film he defines the term as "things that you know, that you don't know you know".<sup>[19]</sup>

Rumsfeld's comment earned the 2003 Foot in Mouth Award from the British Plain English Campaign.<sup>[20]</sup>

#### Analytical sciences

The term "known unknowns" has been applied to the identification of chemical substances using <u>analytical chemistry</u> approaches, specifically <u>mass spectrometry</u>. In many cases, an unknown to an investigator that is detected in an experiment is actually known in the chemical literature, a reference database, or an Internet resource. These types of compounds are termed "known unknowns". The term was originally coined by Little et al.<sup>[21]</sup> and reported a number of times in the literature since then as a general approach.<sup>[22][23][24][25]</sup>

#### See also

- Black swan theory
- Cynefin framework
- Dunning–Kruger effect
- Emic and etic
- Epistemic modal logic
- Four stages of competence
- I know that I know nothing
- Ignoramus et ignorabimus
- Ignotum per ignotius
- Johari window
- Knightian uncertainty

- Known and Unknown: A Memoir
- Outside Context Problem
- Russell's teapot
- Undecidable problem
- The Unknown Known
- Wild card (foresight)
- Argument from ignorance

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#### **External links**

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  Reporter:<sup>37:19</sup> ...Because there are reports that there is no evidence of a direct link between Baghdad and some of these terrorist organizations.
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